

TRANSITIONAL TAX-FREE AMOUNT CERTIFICATE INFORMATION REQUEST



Customer name

Account number

So that we can consider issuing you with the Transitional Tax-Free Amount Certificate you've requested, we need to ask you for more information. The information you give us must be complete and accurate and cover details of all the pension arrangements held, either with us or with other providers.

We need details of all other pension benefits taken before 6 April 2024, that are either already in payment, in income drawdown, transferred to a qualifying recognised overseas pension scheme (QROPS) or that have been accessed flexibly.

If you turned 75 prior to 6 April 2024, we'll also need details of the LTA test that was undertaken at age 75 by each relevant provider.

If you don't have all this information, you'll need to get it from the pension administrator / provider in question before you fill in this form. **You need to provide documents to evidence all of the above with this form . We will not be able to process this request until all evidence is received.**

You must tell us straight away if any of the information you have provided changes.

1. Before you fill in this form, we need to check you're eligible to apply:

- a) Have you accessed any of your pension benefits, or transferred to an overseas pension scheme (QROPS) between 6 April 2006 and 5 April 2024?

Yes No

- b) Did you turn age 75 between 6 April 2006 and 5 April 2024?

Yes No

If you've answered 'No' to both of the questions above, we won't be able to issue you with a transitional tax-free amount certificate, so please don't continue with your application.

- c) Has any money been withdrawn tax free from a pension scheme after 6 April 2024?

Yes No

If you've answered 'Yes' to question C above, we won't be able to issue you with a transitional tax-free amount certificate, so please don't continue with your application.

- d) Are you applying because you want to take a withdrawal from your pension?

Yes If YES, please tell us the date you'd like to take/start your withdrawal so we can complete this application in time.

Date (DDMMYYYY)

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No If NO, be aware that applications for a Transitional Tax-Free Amount Certificate that don't relate to withdrawals can take up to 90 days to complete.

2. Have you applied to HMRC to protect or enhance your lifetime allowance?

- Yes If YES, please send us a copy of the certificate from HMRC or enter the reference numbers below
- No If NO, please go to the next section

Reference number

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3. Total value of pension savings and benefits you've already accessed

We need you to tell us about the value of your pension savings and benefits which you have already accessed with other providers and with Fidelity, other than the State Pension.

If you don't know this information, it's important to find out so that you can give us up-to-date information. You can find more about how to do this at [fidelity.co.uk/allowances](https://www.fidelity.co.uk/allowances).

3.1 Have you ever taken money out of a UK pension scheme or do you currently receive a pension?

- Yes If YES please complete both parts of this section.
- No If NO, please go to section 4

3.2 Were you paid any amounts from your pension before 6 April 2006? If you have since taken benefits you should have been informed of the lifetime allowance usage of these benefits, please confirm the percentage that you were advised.

- Yes If YES please provide details below and ensure that evidence is sent with this form.
- No If NO, please go to the next part of this section (3.3)

Date taken (DDMMYYYY) used	Tax free cash (in whole pounds)	Total LTA percentage used																								
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Name of scheme

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Name of scheme

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Lump sums (continued)

Date taken (DDMMYYYY)

Tax free cash (in whole pounds)

Total LTA percentage used

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Name of scheme

Was the tax free cash amount more than 25% of the fund value

 Yes No

Was this a Serious Ill Health Payment?

 Yes No

Date taken (DDMMYYYY)

Tax free cash (in whole pounds)

Total LTA percentage used

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Name of scheme

Was the tax free cash amount more than 25% of the fund value

 Yes No

Was this a Serious Ill Health Payment?

 Yes No

4. Have you ever transferred a UK pension to a qualifying recognised overseas pension scheme?

Yes If YES please tell us how much of your standard lifetime allowance was used.

No If NO please go to section 5.

Transfer date (DDMMYYYY)

Total transferred (in whole pounds)

Standard LTA percentage used

Name of receiving scheme

Transfer date (DDMMYYYY)

Total transferred (in whole pounds)

Standard LTA percentage used

Name of receiving scheme

5. Did you reach the age of 75 before 6 April 2024?

Yes If YES please provide a copy of the lifetime allowance certificate issued by your provider for your 75th birthday and complete the table below.

No If NO please go to the Declaration section.

Date age 75 attained (DDMMYYYY)

Total Standard LTA percentage used

Name of scheme

Pension Provider Name

For defined contribution schemes, this should be the total fund value of the plan at age 75. For defined benefit (final salary) schemes, this should be the capital value of the pension and lump sum calculated by the Scheme Administrator. You'll find this on a letter sent by the provider of the scheme.

NOTE: If you have more benefits to tell us about, please write the details on a separate sheet of paper and attach it to this form.

6. Next Steps

Important: Please complete the list below before signing the declaration and returning your form to us.

Total LTA percentage Used up to 6th April 2024

I confirm that I have completed the following sections:

Section 1

Eligibility questions

Completed

Yes

I have enclosed supporting evidence

Yes / Not Applicable

Section 2

Have you applied to HMRC to protect or enhance your lifetime allowance?

Yes

Yes / Not Applicable

Section 3

Total value of pension savings and benefits you've already accessed

Yes

Yes / Not Applicable

Section 4

Have you ever transferred a UK pension to a qualifying recognised overseas pension scheme?

Yes

Yes / Not Applicable

Section 5

Did you reach the age of 75 before 6 April 2024?

Yes

Yes / Not Applicable

7. Declaration

I declare that:

- I confirm that the information I have given on this form is complete and accurate to the best of my knowledge.
- I have verified all the information set out above, including amounts and percentages, and I agree to provide Fidelity with such additional evidence or information that they may request from time to time in connection with the Transitional Tax-Free Amount Certificate.
- I understand and acknowledge that Fidelity may refuse to produce the Transitional Tax-Free Amount Certificate if they reasonably consider that it has incomplete evidence and/or information from me to do so.
- I will inform Fidelity immediately if any of the information that I have provided in this form changes.
- I understand that if the information provided is found to be incomplete and inaccurate, I could be fined up to £3,000 by HM Revenue & Customs.
- I understand that where a Transitional Tax-free Amount Certificate that has been issued to me is later found to inaccurately reflect my tax-free entitlements, Fidelity reserve the right to cancel this and I may then be liable for any additional tax due.

Signature

Date signed

 (DDMMYYYY)

Name

Are you a

- Scheme member
- Personal representative
- Financial adviser
- Other (please state)

Where this form is being submitted by an adviser on behalf of a customer:

Financial Adviser Signature

Date signed

 (DDMMYYYY)

Firm name

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is: