

# Company, Charity and Society Application Form

You should use this form to open an account for a company, charity or other organisation specified in section 1, to invest in funds and Exchange Traded Instruments (ETIs) on the Fidelity platform. ETIs include UK shares, European Crest Depository Interests (CDIs), Exchange Traded Funds (ETFs) and Investment Trusts.

## How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this:
- If you make a mistake, please correct it but don't use correction fluid
- Any applications that are not completed correctly, may incur delays or could be returned to you

## What you need to know about setting up this account:

- The account will be registered in the name of the Company, Charity or Society
- Please provide documents from the relevant checklist to us, along with the completed application form.

The supporting documents need to be either original or certified copies.

- We may contact you for further documents once we have reviewed your application.
- Please return all pages; even if some of the sections have not been relevant to you.

## Who can make payments:

- We only accept payment from the Company, Charity or Society bank account

## What's next?

Please send your completed application form to:

**Fidelity**  
**PO Box 391**  
**Tadworth, KT20 9FU**

## Checklist for companies

- The names and dates of birth of all directors and Chairperson/CEO/CFO. The names and positions of the people who sign the application form.
- Verification for shareholders who own more than 25%. Where there is no shareholder with more than 25%, we need to verify the Senior Management Officials for the company, a list of names can be sent by letter on company letterhead with verification for each.
- A board resolution or equivalent detailing the powers/authority of each of the authorised signatories. We additionally need a signatory list with names and specimen signatures. For some companies, this could be the same document, either a board resolution or signatory list, but must fulfil both requirements: specific powers/authority and specimen signatures.

## Checklist for charities

- A certified original governing document (for example, a Trust Deed or Rules)
- Verification for all trustees or officers of the charity. Where there is a substantial number of trustees or officers (e.g. above 5), we will accept a list of people with day to day operational control signed by one of the trustees or officers and need to verify these individuals. We still need names and dates of birth for all trustees or officers.
- If the charity is set up as a trust and the settlor is alive, we need to verify them. Also, if there is a significant donor and they are still alive, we need to verify them.
- A board resolution or equivalent detailing the powers/authority of each of the authorised signatories. We additionally need a signatory list with names and specimen signatures. For some charities, this could be the same document, either a board resolution or signatory list, but must fulfil both requirements: specific powers/authority and specimen signatures

## Checklist for other entities or organisations specified

- Please contact us on 0800 414161 to discuss what documentation may be required.



## 2 Tax Residency (continued)

If your organisation does not have a TIN, please select one of the reasons below.

- The relevant jurisdiction does not issue TINs
- The account holder has not been issued with a TIN
- Domestic law of the tax jurisdiction does not allow collection of the TIN
- Other

### 2.2

If the country in box 2.1 is USA and the organisation is not a Specified US Person, please tick this box

## 3 Organisation's classification under FATCA and CRS

### 3.1

Please mark and X only one box in the below table. This is in reference to the tax residency stated in box 2.1

	FATCA Classification	CRS Classification	
3.1	Participating Financial Institution / Registered Deemed Compliant Financial Institution or IGA Reporting Financial Institution	Financial Institution	<input type="checkbox"/>
3.2	Certified Deemed Compliant Financial Institution / Excepted Financial Institution or IGA Non Reporting Financial Institution	Financial Institution	<input type="checkbox"/>
3.3	Sponsored Financial Institution	Financial Institution	<input type="checkbox"/>
3.4	Financial Institution resident in the USA or in a US Territory	Financial Institution	<input type="checkbox"/>
3.5	Non-Participating Foreign Financial	Financial Institution	<input type="checkbox"/>
3.6	Exempt Beneficial Owner - Government or international organisation	Active Non-Financial Entity which is a Governmental Entity or International organisation	<input type="checkbox"/>
3.7	Exempt Beneficial owner - recognised Pension scheme or plan	Financial Institution	<input type="checkbox"/>
3.8	Active Non-Financial Entity which is regularly traded on an established securities market or affiliated thereto	Active Non-Financial Entity which is regularly traded on an established securities market or affiliated thereto	<input type="checkbox"/>
3.9	Non-profit organisation	Active Non-Financial Entity (other than those listed in 3.6 or 3.8 above)	<input type="checkbox"/>
4.0	Active Non-Financial Entity (other than 3.8)	Active Non-Financial Entity (other than those listed in 3.6, 3.8 or 3.9 above)	<input type="checkbox"/>
4.1	Passive Non-Financial Entity (If you tick this box, please include individual self-certification forms for your US Controlling Persons)	Passive Non-Financial Entity (If you tick this box, please include individual self-certification forms for your Controlling Persons)	<input type="checkbox"/>



## 4 Your contribution choices

- We use Fund code to determine your investment; please complete in capital letters. For details of all investment options, fund codes and ISIN codes at [fidelity.co.uk/funds](http://fidelity.co.uk/funds)
- If investing on our new platform, for ETIs, we will only trade full shares; any cash that is left over from a trade will be held within your account. In some instances, you may receive less than your requested amounts, should the share price change while your instruction is being processed
- If you wish to deal on ETIs, please ensure you have provided us with an LEI in this application form
- There are specific charges related to dealing on an ETI; please refer to our website or our Client Terms for more information

### 4.1 Lump sum contribution

- Minimum investments for lump sum is £1,000

#### How do I make payment?

- Cheque/banker's draft – must be made payable to Fidelity and include the account holder's name. E.G. "Fidelity re: account holder's name". In addition, for banker's drafts and building society cheques, the reverse of the draft/cheque must contain the details of the original account debited including the full name, account number and sort code and must be endorsed with the bank's official stamp.
- Bank transfer (including faster payments) – please complete the originating bank details below. We will contact you to arrange the payment

#### Your bank details:

If you're looking to make an electronic payment, please confirm where the payment will be made from;

##### Bank name

##### Account holder name

##### Account number

##### Sort code

#### Contact details for arranging the payment

##### Title

Mr  Mrs  Ms Other:

##### Surname

##### First name

##### Telephone number

##### Email address





## 5 Your income choices

If you have chosen income bearing investments, please choose how you would like your income to be paid.

- The income should be reinvested. I understand that small sums may be paid to cash within my account where a reinvestment cannot be made
- The income should be paid to cash within the account
- The income should be paid to the bank account provided in this section, and payments made on the payment date and frequency as detailed below

### Frequency when I wish to receive payment

- Monthly     Quarterly     Bi-Annually     Annually

### Date when I wish to receive payment; the payment will be made on or around the selected date

- 1<sup>st</sup>     10<sup>th</sup>     17<sup>th</sup>     25<sup>th</sup>

### Bank name

### Account holder name

  

### Account number

### Sort code

### Building society collection account number

With some building society accounts, we need your roll number, as well as the eight-digit collection account number. If you are in any doubt as to which numbers to give us, please ask your building society.

If no selection is made, the income will be treated by a default choice; this will depend whether you are investing directly with us or you are an adviser making an investment on behalf of your client.

- If you are investing directly, the income will be paid to the cash within your account
- If you are investing through an adviser, the income will be reinvested

## 6 Intermediary details (if applicable)

### Company stamp

### Unique Adviser Number

### FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

If you wish to set up an Adviser Ongoing Fees or Discretionary Fund Manager Ongoing Fees you can do this online.

### Intermediary signature

### Date signed

 (DDMMYYYY)



## 7 Declaration and signature

- I/We understand that the information I/we provide on this application form will be processed in accordance with Fidelity's privacy statement, a link to which is contained in the Fidelity Client Terms or the "Your personal information" section of the Fidelity Adviser Solutions Client Terms (as applicable). I/We understand that such information will be held in confidence and not passed to any company other than as outlined without my/our permission or unless required by law
- The information I/we have given is correct to the best of my knowledge, and I/We will tell Fidelity immediately if any of it changes.
- I/We have read and agree the latest Fidelity Client Terms or the Fidelity Adviser Solutions Client Terms (as applicable)
- I/We I have read and agree the latest Key Features Document, either Doing Business with Fidelity or Doing Business with Fidelity Adviser Solutions (as applicable)
- I/We have read the latest key information document
- I/We have read the illustration document
- I/we confirm that I/we have provided the information required in the relevant checklist
- I/We agree to provide Fidelity with written details of future changes of individuals identified in connection with this application together with specimen signatures

We need the signatures of two authorised signatories, unless the signatory list states that one is enough.  
Please provide an up-to-date signatory list with this form.

FIRST CORPORATE BODY SIGNATURE

X  X

SECOND CORPORATE BODY SIGNATURE

X  X

FIRST CORPORATE BODY PRINT NAME

SECOND CORPORATE BODY PRINT NAME

Date signed

20 (DDMMYYYY)